

PCT/PTO 20 FEB 2002

DOCKET NO. J&J-1825  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Applicants: Kutchan et al

Serial No.: 09/937,665

Art Unit:

Filed : September 26, 2001

Examiner:

For : CODEINONE REDUCTASE FROM ALKALOID POPPY



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 2, 2002

(Date)

John W. Harbour

Name of applicant, assignee, or Registered Representative

January 2, 2002

(Date of Signature)

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE**

Pursuant to the requirements of 37 CFR 1.822 and/or 1.823 and further to the Formalities Letter mailed 11/1/01, Applicant submits a corrected copy of the Sequence Listing in computer readable form, including a printed version of the Sequence Listing of the subject application. As required, a copy of the Formalities Letter is also attached.

Furthermore, a Verified Statement concerning the enclosed diskette is submitted herewith.

If any fees are due in connection with the filing of this response, authorization is hereby given to charge the amount

of such fee to Deposit Account No. 10-0750/J&J-1825/JWH in the name of Johnson & Johnson.

Respectfully submitted,



John W. Harbour  
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DATE: January 2, 2002

3H DKT

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,665	Toni M. Kutchan	J & J-1825

Philip S Johnson  
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INTERNATIONAL APPLICATION NO.

PCT/AU00/00249

I.A. FILING DATE	PRIORITY DATE
03/24/2000	03/26/1999

CONFIRMATION NO. 3757  
 371 FORMALITIES LETTER



Date Mailed: 11/01/2001

*Declaration +  
Sequence  
Listing  
1/1/02*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

**RECEIVED**

NOV 05 2001

J &amp; J PAT. DKT. SECTION

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply

with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- **APPLICANT MUST PROVIDE:**
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- **\$130 Late oath or declaration Surcharge.**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

**BARBARA A CAMPBELL**

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

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09/937,665	PCT/AU00/00249	J & J-1825